

**URGENT BUSINESS AND SUPPLEMENTARY INFORMATION****Budget Planning Committee****26 October 2021**

Agenda Item Number	Page	Title	Officer Responsible	Reason Not Included with Original Agenda
6	(Pages 3 - 42)	Performance, Finance and Risk Monitoring Report	Assistant Director for Finance	Details being reviewed and finalised

*If you need any further information about the meeting please contact Lesley Farrell, Democratic and Elections [democracy@cherwell-dc.gov.uk](mailto:democracy@cherwell-dc.gov.uk), 01295 221591*

This page is intentionally left blank

## **Cherwell District Council**

## **Budget Planning Committee**

**Date of Meeting 26 October 2021**

## **Monthly Performance, Finance and Risk Monitoring Report**

## **Report of Director of Finance**

This report is public

### **Purpose of report**

This report summarises Cherwell District Council's (CDC's) forecast revenue and capital outturn position for 2021/22 as at September 2021 to give the Committee the opportunity to consider the financial aspects of the report.

### **1.0 Recommendations**

The meeting is recommended:

- 1.1 to note the contents of this report

### **2.0 Introduction**

- 2.1 CDC monitors its financial position on a monthly basis. This report provides the forecast outturn position for the year end based on the position as at September of 2021.

### **3.0 Report Details**

#### **Revenue Budget**

- 3.1 CDC's revenue forecast financial position up to the end of September 2021 shows a forecast overspend of £0.8m. This is split between a £0.2m forecast overspend related to service delivery costs, and a net £0.6m forecast under delivery of savings agreed as part of the 2021/22 budget..
- 3.2 The largest overspends are forecast in Environment and Place (£1.2m), Customers, Organisational Development and Resources (CODR) (£0.4m) and Commercial Developments, Assets and Investments (CDAI) (£1.4m). These are offset by forecast underspends in Executive Matters (£0.5m) and Policy Contingency (£1.8m).
- 3.3 The main driver behind the Environment and Place forecast overspend is in the Environment and Waste Service with a forecast £0.8m reduction in car park income,

partly due to the impacts of Covid-19, compared to the budgeted levels and £0.1m pressure on business rates payable in car parks.

- 3.4 The forecast overspend in CODR is mainly related to the Revenues and Benefits service and overpayments of Housing Benefit (£0.2m). In addition there are forecast overspends in Finance due to agency staff costs and increasing insurance premiums (£0.1m).
- 3.5 CDAL's forecast overspend is mainly linked to Castle Quay (£1.7m) and is linked to reductions in commercial income and void costs. It should be noted that this overspend was anticipated at budget setting with funding set aside within the Policy Contingency budget; £1.6m of the Policy Contingency is available to offset this overspend, resulting in a net overspend of £0.1m.
- 3.6 The underspend within Executive Matters is linked to interest costs being lower than anticipated (£0.4m).
- 3.7 The September revenue forecast is in line with the previous month.

### **Capital Budget**

- 3.8 The capital budget for 2021/22 is £40.9m. Forecast spend for the year is £36.9m, a 9.8% reduction. There is an overall forecast reduction in the spend on capital schemes of £0.3m (0.8%). There is a further £3.7m (9.0%) forecast spend to be reprofiled into future years.
- 3.9 Spend to be reprofiled into later years mainly relates to:
- Castle Quay (£1.9m)
  - Bicester Library Phase 1b (£0.5m)
  - Build Essential Repairs (£0.2m)
  - IT Shared Services (£0.2m)

### **Balance Sheet**

- 3.10 CIPFA's Financial Management (FM) Code recommends that as well as monitoring revenue and capital budgets, that balance sheet items are also monitored. In the Council's self-assessment against the requirements of the FM Code, carried out as part of the 2021/22 budget setting process, monitoring of balance sheet items was RAG rated as Amber. The Council has sort to address this through its monitoring of debt and reserves.
- 3.11 The Council forecasts that it will have £30.9m of reserves available at 31 March 2022. There is now a clear reporting of reserves the Council originally planned to use during the year, what has subsequently been agreed and any further changes requested.
- 3.12 As at 30 June 2021 the Council had outstanding debt of £2.9m, of which £0.9m is current debt and £2.0m is in recovery. Of the £2.0m in recovery, £1.6m is over 120 days old. A review of debt over 120 days old will be carried out.

## **4.0 Conclusion and Reasons for Recommendations**

- 4.1 It is recommended that the contents of the report are noted.

## 5.0 Consultation

None required.

## 6.0 Alternative Options and Reasons for Rejection

- 6.1 The report sets out CDC's forecast revenue and capital position for 2021/22. No alternative options have been considered.

## 7.0 Implications

### Financial and Resource Implications

- 7.1 There are no direct financial considerations as a result of this report. The monitoring position will be considered as part of the budget process for 2022/23.

Comments checked by:

Michael Furness, Assistant Director of Finance, 01295 221845,  
michael.furness@cherwell-dc.gov.uk

### Legal Implications

- 7.2 There are no legal implications arising directly from this report.

Comments checked by:

Richard Hawtin, Team Leader – Non-contentious Business  
richard.hawtin@cherwell-dc.gov.uk 01295 221695

### Risk Implications

- 7.3 There are no risk implications arising directly as a result of this report. Any risks will be managed as part of the operational risk register and escalated as and when necessary to the Leadership Risk Register.

Comments checked by:

Louise Tustian, Head of Insight and Corporate Programmes  
louise.tustian@cherwell-dc.gov.uk 01295 221786

## 8.0 Decision Information

**Key Decision** N/A

**Financial Threshold Met:** N/A

**Community Impact Threshold Met:** N/A

**Wards Affected**

All

## **Links to Corporate Plan and Policy Framework**

All

## **Lead Councillor**

N/A

## **Document Information**

### **Appendix number and title**

- Appendix 1 – Monthly Performance, Risk and Finance Monitoring Report
- Appendix 2 – Capital Budget
- Appendix 3 – Covid Funding
- Appendix 4 – use of reserves and grant funding
- Appendix 5 – Aged Debt

### **Background papers**

List any non-published documents

### **Report Author and contact details**

Michael Furness, Assistant Director of Finance, 01295 221845,  
michael.furness@cherwell-dc.gov.uk

## **Cherwell District Council**

### **Executive**

**1 November 2021**

## **Monthly Performance, Risk and Finance Monitoring Report**

### **Report of Director of Finance and Head of Insight and Corporate Programmes**

This report is public

#### **Purpose of report**

This report summarises the Council's Performance, Risk and Finance monitoring positions as at the end of September 2021/Quarter 2.

#### **1.0 Recommendations**

The meeting is recommended:

- 1.1 To note the monthly Performance, Risk and Finance Monitoring Report
- 2.2 To note the Quarter 2 Climate Action Plan and Equalities, Diversity and Inclusion Action plan progress updates
- 3.3 To approve of the transfers to and from reserves on Appendix 7.




#### **2.0 Introduction**

- 2.1 The Council is committed to performance, risk and budget management and reviews progress against its corporate priorities on a monthly basis.
- 2.2 This report provides an update on progress made during September 2021, to deliver the Council's priorities through reporting on Performance, the Leadership Risk Register and providing an update on the financial position. The quarter two updates for the Climate Action and Equalities, Diversity and Inclusion action plan updates are also included in this report.
- 2.3 The Council's performance management framework sets out the key actions, projects and programmes of work that contribute to the delivery of the 2021-22 business plan and the priorities of the Council. These measures and key performance indicators are reported on a monthly basis to highlight progress, identify areas of good performance and actions that have been taken to address underperformance or delays.

- 2.4 As part of monthly reporting, the Insight Team provides the Senior Management Team with a corporate complaints report. Complaints received during the month are closely monitored and analysed. The mandatory lessons learned data continues to be implemented and we are starting to see a decrease in the number of upheld complaints. Lessons learned are reported to CEDR (Chief Executive Direct Reports) and progress is monitored to ensure actions are implemented to avoid the same complaint being reported.
- 2.5 The Council maintains a Leadership Risk Register that is reviewed on a monthly basis. The latest available version of the risk register (at the date this report is published) is included in this report. The Leadership Risk Register and strategy are reviewed on an annual basis as part of the budget and business planning process, reflecting on the priorities of the council for the forthcoming year. The Leadership Risks reflected in this report have been thoroughly reviewed by CEDR and will continue to be updated on a monthly basis.
- 2.6 The main report details section is split into three parts:
- Performance Update
  - Leadership Risk Register Update
  - Finance Update
- 2.7 There are nine appendices to this report:
- Appendix 1 – 2021/22 Business Plan
  - Appendix 2 – Monthly Performance Report - September
  - Appendix 3 – Leadership Risk Register
  - Appendix 4 – Finance
  - Appendix 5 – Virement and Aged Debt Sep
  - Appendix 6 – COVID funding
  - Appendix 7 – Use of reserves and grant funding
  - Appendix 8 – Climate Action Plan Quarter 2 update
  - Appendix 9 – Equalities, Diversity and Inclusion Action Plan Quarter 2 update
- 3.0 **Report Details**
- 3.1 The Council's performance management framework sets out key actions, projects and programmes of work that contribute to deliver the refreshed 2021-22 business plan (see Appendix 1) and the priorities of the Council.
- 3.2 The 2021-22 business plan sets out four strategic priorities:
- Housing that meets your needs
  - Leading on environmental sustainability
  - An enterprising economy with strong and vibrant local centres
  - Healthy, resilient and engaged communities
- 3.3 This report provides a summary of the Council's performance in delivering against each strategic priority. To measure performance a 'traffic light' system is used. Where performance is on or ahead of target it is rated green, where performance is slightly behind the target it is rated amber. A red rating indicated performance is off target.



3.4 As part of our commitment to tackle climate change the Quarter two update is included (Appendix 8 – Climate Change Action Plan). Also, the Quarter two Equalities, Diversity and Inclusion Action Plan update is attached (Appendix 9 – Equalities, Diversity and Inclusion Action Plan) to show progress towards our commitment to inclusion and equality, and the agreed Equalities, Diversity and Inclusion Framework, Including Everyone.

Colour	Symbol	Tolerances for Business Plan Measures	Tolerances for Key Performance
Red		Significantly behind schedule	Worse than target by more than 10%.
Amber		Slightly behind schedule	Worse than target by up to 10%.
Green		Delivering to plan / Ahead of target	Delivering to target or ahead of it.

### **Priority: Housing that meets your needs**

3.4 The Council is committed to deliver affordable housing, raising the standard of rented housing and find new and innovative ways to prevent homelessness. Also, to promote innovative housing schemes, deliver the local plan and supporting the most vulnerable people in the District.

#### **3.5 Overview of our performance against this strategic priority:**

**Homeless Prevention** reported Amber for September and for year to date due to demands on the Housing Team; those presenting in crisis have hampered our ability to focus on 'upstream' prevention work. Resources are being assessed to create more work capacity with households, at an earlier stage, to improve prevention outcomes.



**Homes improved through enforcement action** reported Green for September and year to date (25 against target of 9 homes). A tremendous improvement from the previous month, achieved through interventions.

**Maintain 5-year Land Supply** reported Amber for September and year to date, There is no change to the previous quarter's position (4.7 years against target of 5 years).

**Net Additional Housing completions** reported Green for September and Amber for year to date (311 against target of 285). The provisional housing completions expected for Quarter two exceeded the quarterly target.



**Average time taken to process Housing Benefit New Claims** reported Green for September and year to date (14.04 against target of 15 days). Another improvement from the previous month.

**Major applications overturned at appeal** reported Red for September and for year to date (16.67% against a target of 10%). One Major Planning Appeal was overturned by the Planning Inspectorate during September 2021.

### **Priority: Leading on environmental sustainability**

3.6 The Council is committed to deliver on sustainability and in the commitment to be carbon neutral by 2030, promotes the Green Economy and increases recycling across the district.

This priority includes the protection of our natural environment and our built heritage, working in partnerships to improve air quality in the district and the reduction of environmental crime.

### **3.7 Overview of our performance against this strategic priority:**



**Reduction of fuel consumption used by fleet** reported Amber for September and year to date (41,932 against target of 39,878). Slight increase, from last year, however, as per previous months, more vehicles are being used compared to the same period last year.

**% of Waste Recycled & Composted** reported Green for September and year to date (59.01% against a target of 56%). The dry recycling is still performing at record levels with the contamination rate starting to fall.



**Development of Country Parks to support life choices** reported Amber for September and year to date. The project is running behind schedule, but with expectations to get back on track as a new Project Manager is to be recruited imminently.

**Work with partners to deliver initiatives to improve air quality in the District** reported green for September with two portable monitors loaned by Oxfordshire County Council. The monitors, which measure nitrogen dioxide, particulate matter (PM10 and PM2.5), sulphur dioxide and ozone and have been set up to provide live data for these pollutants. Also, we were awarded a grant of £17,300 from the Department for Environment, Food and Rural Affairs (Defra) towards projects for raising awareness of air quality. The grant money will be used for promotional material and to purchase two portable air



quality monitors that can be moved around schools in the district to show the changes in air pollution levels at peak times (drop off and pick up times).

### **Priority: An enterprising economy with strong and vibrant local centres**

3.8 The Council is committed to support business retention and growth, developing skills and generating enterprise; also, securing infrastructure to support growth in the district and securing investment in our town centres. This priority also contributes towards making communities thrive and businesses grow promoting the district as a visitor destination, committing to work with businesses to ensure compliance and promote best practice.

#### **3.9 Overview of our performance against this strategic priority:**

**Council Tax collected, increase Council Tax Base** Reported Green for September and Amber for year to date (9.11% against target of 8.50%), due to the amount of Council Tax to be collected, which has risen by nearly £1.6m, since the 01<sup>st</sup> April 2021, to just over £116.9m. Whilst the in-month collection & year-to-date figures are both slightly short of target, recovery action remains ongoing. Reminder notices continue to be issued, and those in arrears are being pursued through court action.



**Business Rates collected, increasing NNDR** reported Green for September and Red for year to date (11.01% against a target of 9.50%). The amount of business rates to be collected in 2021/22, stands at £80.8m, reduced collection due to multiple assessments of rateable value reductions. The team continues to formally recover arrears by issuing reminder notices and taking court action, where appropriate.

**Promote the district as a visitor destination** reported Green for September and year to date. The district's hospitality venues and visitor attractions have been welcoming domestic visitors since the lifting of social restrictions. International visitor numbers remain low due to travel restrictions. Still, Experience Oxfordshire launched a new digital countywide Hospitality Guide with significant Cherwell content.



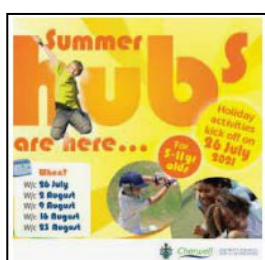
**Establish new Building Regulations partnership** reported Amber for September and year to date. A draft report has been prepared to consider the options for providing Building Control services in the future, particularly a potential partnership with another local authority. The aim is to achieve a resilient service for the future that benefits from economies of scale and maximises opportunities for income generation.

## **Priority: Healthy, resilient and engaged communities**

3.10 The Council is committed to enabling all residents to lead an active life, improving and developing the quality of local sports and leisure facilities and promoting health and wellbeing in our communities. Also, supporting community and cultural development, working with our partners to address the causes of health inequalities and deprivation, and to reduce crime and anti-social behaviour.

### **3.11 Overview of our performance against this strategic priority:**

**Number of visits / usages of District Leisure Centres** reported Red for September and Green for year to date (110,527 against target of 150,000). The usage figures for three of the main Leisure Centres showed an increase against the August figures. However, one centre showed a decrease on the previous month, which was not unexpected, due to the change in seasonal programming for the outdoor swimming pool.



**Promote Health and Wellbeing** is Reporting Green for September and year to date, with Youth Activators restarting school sessions and, the adherence of 55 people to the Move Together pathway - a specific programme for residents who had been asked to shield during the first phases of the pandemic, encouraging them to become more socially and physically active.

### **Summary of Performance**

3.12 The Council reports monthly on performance against 38 (27 monthly / 38 quarterly) Business Plan Measures, with 21 Programme Measures and 17 Key Performance Indicators. Full details, including commentary against each measure and key performance indicator can be found in Appendix 2.

#### **Programme Measures and Key Performance Indicators (38)**

Status	Description	Q2/ September	%	YTD	%
Green	On target	31	82%	29	77%
Amber	Slightly off target	5	13%	7	18%
Red	Off target	2	5%	2	5%

### **3.13 Climate Action Programme – Quarter two update**

This report updates Executive on the delivery of the 21 Cherwell District Council and joint (with Oxfordshire County Council) priority actions set by the Climate Action Programme Board for 2021/22 (for details please see Appendix 8). By the end of Quarter two, actions were rated:

Status	Description	Quarter 2	Quarter 2%	YTD	YTD %
Green	On target	13	62%	14	66%
Amber	Slightly off target	7	33%	6	29%
Red	Off target	1	5%	1	5%

### Performance highlights:

- Delivery of heat decarbonisation and energy efficiency projects funded by £6m grant from Public Sector Decarbonisation Scheme proceeding in leisure centres and corporate buildings. Deadline extended to March 2022 to accommodate nationwide supply chain issues.
- Fleet audits completed to inform a fleet replacement programme and assess charging infrastructure needs.
- £3m bid submitted to the Sustainable Warmth Fund to increase the energy efficiency of homes in fuel poverty.
- Cherwell Local Plan Review Options Paper under consultation.
- Local businesses engaged in COP26 Zero Carbon Tour, part of the council's COP26 comms campaign.

Additionally, delivery of the council's climate action commitments is being supported by the following initiatives:

- Three teams have now requested to take part in the Carbon Literacy peer-to-peer training course, after a successful pilot with the Leisure Services in July.
- Staff joined a Climate Café in September to learn about the new requirement to include Equalities and Climate Impact Assessments in reports to CEDR and Exec proposing a new policy, procedure, service change, service planning or programme.



### 3.14 Equality, Diversity and Inclusion Action Plan – Quarter two update

This is the second quarter summary report of the Including Everyone action plan. There are 32 actions in total, spread across the three areas of activity: Inclusive Workforce, Inclusive Communities and Inclusive Service Delivery.

Status	Description	Quarter 2	Quarter 2 %	YTD	YTD %
Green	On target	21	66%	22	67%
Amber	Slightly off target	11	34%	10	33%
Red	Off target	0	0%	0	0%

## Performance highlights:

- Stakeholder engagement workshops have helped to shape our first draft of the Recovery and Prosperity Strategy for Cherwell and further consultation will be held during October and November.
- The 'Mentoring for Diversity' programme has been extended for an additional three months as feedback continues to be positive. All of the mentors involved in the scheme have said that it has been beneficial both for the organisation and on an individual basis.
- A final draft of the Social Value Policy has been developed which will embed both climate action and equalities considerations into our procurement practices.

It has become clear that a number of actions will need to be re-designed as part of developing the new action plan in January 2022. This will include:

- Developing more detailed actions that link to a project to re-design our digital presence
- Understanding how we can improve the links between addressing climate change and tackling health inequalities
- Ensuring that we embed key learning from Covid into actions to address inequality



### 3.15 Service in Focus - Place and Growth /Growth and Economy

The work of the Growth and Economy section falls into four areas: the Bicester Delivery Team; Strategic Transport; Economic Growth and the Build! Team.

The Bicester Delivery Team has continued to progress the Bicester Garden Town Programme infrastructure projects which are needed to facilitate the new housing growth, including in April the construction of the rail under bridges to the north west of the town. The work undertaken by the Bicester Town Centre Task Group has also been further developed with a project progressing to procure a Business Plan for the revitalisation of the town centre.

Cherwell's Strategic Transport interests have been represented at a number of significant groups, including the Oxford-Cambridge Arc Collaboration Forum; the A34 Improvements Steering Group; East West Rail; and the Oxfordshire Strategic Transport Forum.

The Economic Growth team has worked with colleagues in Finance and CSN Resources on the disbursement of HM Government's COVID-19 business grants. The Council has successfully participated in a number of the Government-funded COVID-19 recovery schemes, including the 'Meanwhile in Oxfordshire' project which brings vacant retail units back into use. To promote investment in the district, the team exhibited with partners at the September OxProp Fest event at Bicester Motion,

liaising directly with commercial property agents and business leaders. A new ten-year economic strategy, the Recovery and Prosperity Strategy for Cherwell, is under preparation.

The Build! Team completed the construction of three two-bedroomed accessible bungalows in Middleton Stoney at the start of May, with two being let for affordable rent and the third being a shared ownership sale. Two of the team’s projects have been selected as finalists for housing awards – the best development under £5 million, for the Admiral Holland development in Banbury; and a community regeneration award for Banbury’s Bretch Hill development.

### **Risk Update**

- 3.16 The Council maintains a Leadership Risk Register that is reviewed on a monthly basis. The latest available version of the risk register at the date this report is published is included in this report.
- 3.17 The heat map below shows the overall position of all risks contained within the Leadership Risk Register.

### **Risk Scorecard – Residual Risks**

Probability		1 - Remote	2 - Unlikely	3 - Possible	4 - Probable	5 - Highly Probable
Impact	5 - Catastrophic					
	4 - Major		L06- L08 - L15	L03 - L04 - L05 - L10	L01 - L16	
	3 - Moderate		L09 -	L02 - L11 - L13 - L17- L18	L14	L12
	2 - Minor					
	1 - Insignificant					

3.18 The table below provides an overview of the Leadership Risk Register 21/22

Leadership Risk	Score	Direction of travel	Latest Update
<b>L01</b> Financial Resilience	16 High Risk	↔	Risk reviewed - 04/10/21 Mitigations and Comments updated
<b>L02</b> Statutory functions	9 Low Risk	↔	Risk reviewed - 13/10/21 Mitigating actions and comments updated
<b>L03</b> CDC Local Plan	12 Medium Risk	↔	Risk reviewed - 14/10/21 - Comments updated
<b>L04</b> Business Continuity	12 Medium Risk	↔	Risk Reviewed 11/10/21 - Comments and mitigating actions updated
<b>L05</b> Emergency Planning	12 Medium Risk	↔	Risk Reviewed 11/10/21 - Mitigating actions updated
<b>L06</b> Health & Safety	8 Medium Risk	↔	Risk reviewed 01/10/21 - No changes
<b>L07</b> Cyber Security	15 Medium Risk	↔	Risk Reviewed 11/10/21 - No changes
<b>L08</b> Safeguarding the Vulnerable	8 Medium Risk	↔	Risk reviewed 04/10/21 - Mitigations updated
<b>L09</b> Sustainability of Council owned companies and delivery of planned financial and other objectives.	6 Low Risk	↔	Risk reviewed 13/10/21 - No changes
<b>L10</b> Financial sustainability of third-party suppliers and contractors	12 Medium Risk	↔	Risk reviewed 04/10/2021 - No changes
<b>L11</b> Corporate Governance	9 Low Risk	↔	Risk reviewed 13/10/2021 - Comments updated
<b>L12</b> Oxfordshire Growth Deal	15 Medium Risk	↔	Risk reviewed 08/10/21 - No changes.
<b>L13</b> Joint Working	9 Low Risk	↔	Risk reviewed 14/10/2021 - Comments updated
<b>L14</b> Legacy Shared Services Partnership – West Northamptonshire Council	12 Medium Risk	↔	Risk reviewed 14/10/2021 - Comments updated
<b>L15</b> Workforce Strategy	8 Medium Risk	↔	Risk reviewed 20/10/21 - Mitigating actions and comments updated
<b>L16</b> COVID-19 Community and Customers	16 High Risk	↔	Risk reviewed 01/10/2021 - No changes
<b>L17</b> COVID-19 Business Continuity	9 Low Risk	↔	Risk reviewed 13/10/2021 – Mitigating actions updated
<b>L18</b> Post COVID-19 Recovery	9 Low Risk	↔	Risk reviewed 13/10/2021 - Controls, mitigating actions and comments updated

There were no score changes to the Leadership Risk Register during September.



## Finance Update

3.19 The Council's forecast position for 2021/22 at the end of September shows a £0.810m overspend as shown in Table 1. This is made up of potential non-delivery of savings targets of £0.666m and an overspend of £0.144m on business-as-usual costs as shown in Table 2.

## 3.20 Report Details

Table 1: Forecast Year End Position

Forecast overview - September 2021	Original Budget £m	Current Budget £m	Year End Position at September £m	September Variance (Under) / Over £m	% Variance to current budget	August Forecast Over / (Under) £m	Change since August (better) / worse £m
Environment and Place	6.699	10.018	11.176	1.158	11.6%	1.171	(0.013)
Customers, Org. Dev. And Resources	5.682	6.399	6.808	0.409	6.4%	0.554	(0.145)
Adults and Housing Services	1.844	2.700	2.690	(0.010)	-0.4%	0.015	(0.025)
Public Health and Wellbeing	1.816	2.969	3.129	0.160	5.4%	0.160	0.000
Comm. Dev. Assets and Inv.	0.076	0.185	1.539	1.354	731.9%	0.987	0.367
<b>Subtotal Directorates</b>	<b>16.117</b>	<b>22.271</b>	<b>25.342</b>	<b>3.071</b>	<b>13.8%</b>	<b>2.887</b>	<b>0.184</b>
Executive Matters	2.769	(3.613)	(4.072)	(0.459)	-12.7%	(0.457)	(0.002)
Policy Contingency	3.487	3.715	1.913	(1.802)	-48.5%	(1.628)	(0.174)
<b>Total</b>	<b>22.373</b>	<b>22.373</b>	<b>23.183</b>	<b>0.810</b>	<b>3.6%</b>	<b>0.802</b>	<b>0.008</b>
<b>FUNDING</b>	<b>(22.373)</b>	<b>(22.373)</b>	<b>(22.373)</b>	<b>0.000</b>	<b>0.0%</b>	<b>0.000</b>	<b>0.000</b>
<b>(Surplus)/Deficit</b>	<b>0.000</b>	<b>0.000</b>	<b>0.810</b>	<b>0.810</b>		<b>0.802</b>	<b>0.008</b>

**Table 2: Analysis of Forecast Variance – September**

<b>Breakdown of current month forecast</b>	<b>Forecast Variance</b>	<b>Forecast Base Budget Over/ (Under)</b>	<b>Mitigations</b>	<b>Savings Non-Delivery</b>
	<b>£m</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>
Environment and Place	1.158	0.754	0.000	0.404
Customers, Org. Dev. And Resources	0.409	0.316	0.000	0.093
Adults and Housing Services	(0.010)	(0.020)	(0.030)	0.040
Public Health and Wellbeing	0.160	0.131	0.000	0.029
Comm. Dev. Assets and Inv.	1.354	1.302	(0.048)	0.100
<b>Subtotal Directorates</b>	<b>3.071</b>	<b>2.483</b>	<b>(0.078)</b>	<b>0.666</b>
Executive Matters	(0.459)	(0.459)	0	0.000
Policy Contingency	(1.802)	(1.802)	0	0.000
<b>Total</b>	<b>0.810</b>	<b>0.222</b>	<b>(0.078)</b>	<b>0.666</b>
<b>FUNDING</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>
<b>(Surplus)/Deficit</b>	<b>0.810</b>	<b>0.222</b>	<b>(0.078)</b>	<b>0.666</b>

- 3.21 Of the £0.666m savings not expected to be delivered in 2021/22, £0.507m of this is also not expected to be delivered in future years. The Council is working on ways to address this, including reviewing whether budget is available within Policy Contingency to mitigate some of the non-delivery in year.
- 3.22 The Council has incurred costs and lost income during 2021/22 in relation to Covid-19 across all areas of the Council. As the Covid-19 restrictions have eased additional costs linked to Covid-19 are reducing. However, there are some areas where there may be a prolonged change in behaviour. In particular lost income is forecast until the end of the financial year in car parking.
- 3.23 When the Council set its budget for 2021/22 the likely financial impact of Covid-19 was taken into consideration and budget provisions were made accordingly. The policy contingency budget of £3.715m includes funding for anticipated lost income and additional costs of Covid-19 in the 2021/22 financial year. In addition, the Council received £0.720m in Covid-19 grant and expects to claim £0.095m from the Sales, Fees and Charges compensation scheme which is open until the end of June. The forecast financial costs and loss of income associated with Covid-19 continue to be recorded and are shown in Table 3 as a memorandum item. These impacts are assumed within the overall forecast.

**Table 3: Covid Impacts included in the 2021/22 Outturn Forecast**

<b>Covid Costs 2021/22</b>	<b>£m</b>
Environment and Place	0.430
Customers, Org. Dev. And Resources	0.004
Adults and Housing Services	0.000
Public Health and Wellbeing	0.199
Comm. Dev. Assets and Inv.	2.006
<b>Subtotal Directorates</b>	<b>2.639</b>
Executive Matters	(0.815)
Policy Contingency	0.000
<b>Total</b>	<b>1.824</b>

Note: Executive Matters holds the General Covid funding received.

### 3.24 Report Details

#### Environment and Place

Environment and Place have forecast an overspend of £1.158m against a budget of £10.018m (11.6%). This forecast overspend includes £0.754m expected base budget costs and £0.404m in potential savings non-delivery

Environment and Waste	The forecast variance for Environmental Services for September is due to continued pressure within Car Parks, £0.752m reduction in anticipated car parks income, (of which £0.358m is due to the impact of Covid-19). There is also a £0.107m increase in national non-domestic rates.
Variation £1.016m overspend	
Variance to last month's forecast £0.045m	A new pressure has risen within Waste and Recycling regarding a possible delay to the food and garden waste roll out. The collection start date is uncertain due to supply problems with the vehicles. That issue should be resolved imminently, and a start date confirmed.
	The anticipated income is expected to see a £0.472m reduction, this is part off set by (£0.387m) saving in employee costs due to non-recruitment to posts, refuse disposal charges and reductions in

	gate fees plus other small variances across the service of £0.072m.
Planning & Development	Planning and Development are forecasting a £0.037m overspend due to minor variances across the service.
Variation £0.037m overspend	
Variance to last month's forecast £0.022m	
Growth & Economy	Growth and Economy are forecasting £0.105m overspend which is made up of £0.025m consultancy costs, £0.025m relocation costs of tenants of Town Centre House and £0.055m corporate costs in relation to Oxford to Cambridge ARC and the annual Growth board contribution
Variation £0.105m overspend	
Variance to last month's forecast £0.010m	

## **Customers, Organisational Development and Resources**

Customers & Organisational Development have forecast an overspend of £0.409m against a budget of £6.399m (6.4%). This forecast overspend includes £0.316m base budget costs and savings of £0.093m at risk of delivery.

HR/IT/Comms/Cultural Services	There is a forecast overspend of £0.117m within IT due to a pressure on the delivery of savings required of £0.093m and small overspends across the service of £0.024m.
Variation £0.112m overspend	A full review is underway to identify in-year mitigations including a review of joint working costs and potential savings through working with OCC, and the legacy costs associated with delivery of service to what was South Northants Council.
Variance to last month's forecast (£0.038m)	Customer and Cultural Services are largely on target, apart from a forecast overspend on salaries of £0.020m.
	HR are forecasting an underspend of (£0.025m) due to having Health & Safety within their service, 0.035m overspend due joint working income no longer being received this is partly offset by an

	underspend in Computer software. (£0.060m) underspend in due to vacant post
Finance	
Variation £0.297m overspend	There is a forecast overspend for Revenue and Benefits due to £0.174m recovery of overpaid Housing Benefit subsidy by the Department of Works and Pensions.
Variance to last month's forecast (£0.107m)	The one off project and recruitment costs relating to the establishment of the new Revenues and Benefits team has been offset by additional government grants. There is a forecast £0.070m overspend on insurance premiums, a £0.027m forecast overspend on personnel costs in Finance and £0.026m overspend on software support contracts.

### **Adults and Housing Services**

Adults and Housing Services have forecast an underspend of (£0.010m) against a budget of £2.700m, (0.4%). This forecast underspend includes (£0.020m) base budget savings and £0.040m in potential savings non-delivery

Housing & Social Care	The forecast outturn for Housing is expected to be largely on track with (£0.010m) underspends across the service.
Variation (£0.010m) overspend	
Variance to last month's forecast (£0.025m)	

### **Public Health & Wellbeing**

Public Health & Wellbeing forecast an overspend of £0.160m against a budget of £2.969m (5.4%). This forecast overspend includes £0.131m within the base budget and £0.029m in potential savings non-delivery

Wellbeing	Wellbeing is forecasting an overspend of £0.199m which relates to loss of benchmarking income in relation to the leisure contract as a direct impact of Covid and (£0.039m) underspend across the department.
Variation £0.160m overspend	
Variance to last month's forecast (£0.000m)	

Healthy Place Shaping	Healthy Place Shaping are currently projecting to be on target.
Variation £0.000m	
Variance to last month's forecast £0.000m	

## **Commercial Development, Assets and Investments**

The Directorate is forecasting an overspend of £1.354m against a budget of £0.185m (731.9%). This forecast overspend includes £1.302m base budget costs and £0.100m in potential savings non-delivery

Property	Broadly in line with expectations, Castle Quay is anticipating a net reduction in income of £1.700m. This is a deterioration of £0.302m compared to August's forecast.
Variation £1.350m overspend	
Variance to last month's forecast £0.322m	Of the net reduction in income £2.165m is due to reduction in commercial income alongside additional void costs for empty units associated with the impact of Covid-19. Partially mitigating this are potential savings of (£0.465m) on other costs. Income levels for the current year are 64% of pre pandemic levels and are anticipated to rise to 91% of pre-pandemic levels in 2022/23.
	The Council has set aside a contingency of up to £1.603m for these costs, which will be brought into the accounts once the final outturn is known. Taking into account Policy Contingency there is a net overspend of £0.097m forecast for Castle Quay.
	The rest of the Property service area is forecasting an underspend of (£0.350m). This is as a result of improved commercial income of (£0.170m), staff savings of (£0.130m) and (£0.050m) various underspends across the department.
Procurement	Procurement is forecasting on track with budget.
Variation (£0.000m) underspend	
Variance to last month's forecast £0.00m	

<p>Law and Governance</p> <p>Variation £0.102m overspend</p> <p>Variance to last month's forecast £0.050m</p>	<p>There is a pressure within legal of £0.100m due to an under recovery of income - steps are being taken to put into place income generation milestones.</p>
<p>Growth and Commercial</p> <p>Variation (£0.048m) underspend</p> <p>Variance to last month's forecast £0.000m</p>	<p>The underspend forecast is due to savings on a vacant post.</p>
<p>Regulatory Services</p> <p>Variation (£0.050m) underspend</p> <p>Variance to last month's forecast (£0.005m)</p>	<p>The Regulatory Services forecast underspend is due to (£0.115m) underspend on staff costs and vacant posts offset by £0.065m forecast loss of licensing income plus other minor savings across the service.</p>

## **Executive Matters**

Executive Matters forecast is an underspend of (£0.459m) against the budget of (£3.613m) (12.7%).

<p>Interest</p> <p>Variation (£0.434m) underspend</p> <p>Variance to last month's forecast (£0.002m)</p>	<p>There is an underspend forecast against the budget of (£0.434m) for 2021/22 mostly as a result of lower interest rate on borrowings.</p>
<p>Corporate</p>	<p>The council is forecasting receipt of £0.095m Covid-19 funding as 75% compensation for lost</p>

Variation (£0.095m) underspend	Sales Fees and Charges Income from the Government for the period to 30 June 2021
Variance to last month's forecast £0.000m	
External Audit Fees	External Audit fees are anticipated to be £0.070m more than budgeted due to higher-than-expected final 2019/20 audit fees and an increase in forecast for this year's audit.
Variation £0.070m overspend	
Variance to last month's forecast £0.000m	

### **Policy Contingency**

Policy contingency is planned to meet the reduction in commercial income in Commercial Development, Assets and Investments and is forecasting an underspend of (£1.603m), in addition (£0.199m) is forecast for the Leisure Contract benchmarking payments. There remains £0.974m unallocated after these assumptions are taken into account.

### **3.25 Forecast Earmarked Reserves and General Balances at September 2021**

The table below is a summary of the level of reserves the council holds. The proposed changes are set out in appendix 6.

<b>Reserves</b>	<b>Balance 1 April 2021</b>	<b>Original Budgeted use/ (contribution)</b>	<b>Changes agreed since budget setting</b>	<b>Changes proposed September 2021</b>	<b>Forecast Balance 31 March 2022</b>
	<b>£m</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>	<b>£m</b>
General Balance	(£5.087)	£0.000	£0.000	£0.000	(£5.087)
Earmarked	(£21.328)	(£0.577)	£0.984	£0.065	(£20.856)
Ringfenced Grant	(£31.556)	£22.073	£4.934	£0.000	(£4.549)
<b>Subtotal Revenue</b>	<b>(£57.971)</b>	<b>£21.496</b>	<b>£5.918</b>	<b>£0.065</b>	<b>(£30.492)</b>
Capital	(£0.676)	£0.000	£0.020	£0.000	(£0.656)
<b>Total</b>	<b>(£58.647)</b>	<b>£21.496</b>	<b>£5.938</b>	<b>£0.065</b>	<b>(£31.148)</b>

### **3.26 Government Grants**

Grant funding received by the Council this month of £0.025m in relation to Covid Test and Trace.



### 3.27 Aged Debt

As at 30 September the Council had outstanding debt of £4.167m, of which £0.960m is current and £3.208 is in recovery. Of the £3.208m in recovery, £2.811 is over 120 days old. A review of debt will be carried out within the next quarter. Appendix 5 shows the profile of the Councils aged debt.

### 3.28 Capital

There is a forecast in-year underspend of £4.062m, of which £3.678m is anticipated to be reprofiled in future years. There is an overall forecast decrease in the total cost of schemes of £0.384m.

#### Forecast Capital Spend 2021/22

Directorate	Budget £m	Forecast Spend 2021/22 £m	Re-profiled beyond 2021/22 £m	Variance to Budget £m	Prior Month Variance £m
Housing Total	1.244	0.806	0.063	(0.375)	(0.375)
Comm Dev Assets total	28.577	26.417	2.059	(0.101)	(0.054)
Customers, Org Dev & Resources Total	1.269	1.044	0.225	(0.000)	(0.045)
Environment and Place Total	9.308	8.119	1.331	0.142	0.142
Public Health Wellbeing Total	0.561	0.557	0.000	(0.004)	(0.004)
<b>Total</b>	<b>40.959</b>	<b>36.943</b>	<b>3.678</b>	<b>(0.339)</b>	<b>(0.337)</b>

### 3.29 Forecast Variances

#### Housing:

Housing is forecasting an underspend of (£0.375m) due to reduced activity in delivering Disabled Facilities Grant works during the pandemic. It is expected that the capital programme will increase by £1.240m due to the 2021/22 Better Care Allocation once approval has been given by Full Council until this has happened, we are only forecasting spend against existing budget.

#### Commercial Development, Assets & Investments:

Property is forecasting to spend £26.417m across various capital schemes. It is anticipated that there will be a saving of (£0.101m) across a few capital projects but with most schemes still anticipating full utilisation of budget by the end of the year. Although there is the possibility that

some projects may need to be reprofiled to 2022/23 depending on progress made. This is to be reviewed as part of Q3 forecasting.

Regulatory Services are forecasting to spend £0.015m this year to enable agile working.

### **Customers Organisational Development & Resources:**

ICT are currently forecasting a £0.033m overspend against the Land and Property Harmonisation Scheme. However, they will be applying to repurpose some of the budget relating to one of the other schemes. If this is agreed the net effect will be zero. Finance is forecasting as on target. The remaining £0.045k budget has been vired under delegated approvals.

### **Environment and Place:**

Forecasting to spend £5.320m by year end. This is an overspend of £0.142m of which £0.078m relates to retention payments due next year.

Environmental Services are forecasting no variances for 2021/22.

### **Public Health & Wellbeing:**

Wellbeing are forecasting spend of £0.559m which is £0.010m over budget in relation to Community grants. The scheme reported a (£0.025m) underspend in 20-21 as the commitment due to a project being cancelled in error. The works are however going ahead in this financial year, resulting in the reported overspend.

## **3.30 Re-profile beyond 2021/22**

### **Housing**

£0.063m Discretionary grants - This budget supports a number of discretionary grants, all of which are reactive/demand led. Landlords Home Improvement Grants (which secure nomination-rights over improved private rented accommodation for otherwise homeless households) are responsible for the largest spend, but we are currently experiencing lower demand at this stage of the year than expected.

### **Commercial Development, Assets & Investments:**

£1.859m Castle Quay Waterside - reprofiling of the budget beyond 21/22 is necessary because retention payments will be due following the 12 month defect period from September 2021. Also delays to the project have been incurred due to reduced productivity to maintain social distancing.  
£0.100m Housing & IT Asset system (joint with OCC) - The IT spend will only happen once the restructure of the joint team comes to that stage that we can start procuring our joint system called 'Single View of Assets'. This is likely to happen next financial year.  
£0.100m Feasibility of utilisation of proper space.

### **Environment and Place:**

#### **Growth and Economy**

£0.160m BUILD! Essential Repairs & Improvement (Town Centre Affordable Rent roof repairs) - A new structural engineer consultant has been appointed and further survey work is required to establish the specification for the tender documents. It is still anticipated that the insurance or warranty will cover the cost.

£0.017m Phase 2 - Bullmarsh Close formally completed early May 2021 and therefore retention payment is due 12 months later in May 2022.

£0.525m Phase 1b - Admiral Holland formally completed September 2020 and retention payment is due September 2022 (£0.061m). Bicester Library received planning consent at September's Planning Committee, as a result 70% of the budget has been reprofiled beyond 2021/22. This will be continually reviewed in line with the project programme.

### **Customers, Org Dev & Resources:**

£0.075m IT Council Website & Digital Service - the programme of work is currently expected to complete in June 2022

£0.150m IT Shared Services - the programme of work is to extend into 2022/23 Financial Year. The supplier payment will be aligned with timeline.

## **4.0 Conclusion and Reasons for Recommendations**

4.1 It is recommended that the contents of this report are noted.

## **5.0 Consultation**

5.1 This report sets out performance, risk and budgetary information for the sixth month of this financial year and as such no formal consultation on the content or recommendations is required.

## **6.0 Alternative Options and Reasons for Rejection**

6.1 The following alternative options have been identified and rejected for the reasons as set out below.

Option 1: This report illustrates the Council's performance against the 2021-22 business plan. As this is a monitoring report, no further options have been considered. However, members may wish to request that officers provide additional information.

## **7.0 Implications**

### **Financial and Resource Implications**

7.1 Financial implications are detailed within sections 3.19 to 3.30 of this report.

Comments checked by:

Lorna Baxter, Executive Director Finance, 07393 001218, [Lorna.Baxter@cherwell-dc.gov.uk](mailto:Lorna.Baxter@cherwell-dc.gov.uk)

## **Legal Implications**

7.2 There are no legal implications from this report.

Comments checked by:

Sukdave Ghuman, Head of Legal and Deputy Monitoring Officer,  
[Sukdave.Ghuman@cherwell-dc.gov.uk](mailto:Sukdave.Ghuman@cherwell-dc.gov.uk)

## **Risk Implications**

7.3 This report contains a full update with regards to the Council's risk position at the end of September 2021. A revised and refreshed risk management strategy is in place and the Leadership risk register has been fully reviewed.

Comments checked by:

Celia Prado-Teeling, Performance Team Leader, 01295 221556, [Celia.prado-teeling@cherwell-dc.gov.uk](mailto:Celia.prado-teeling@cherwell-dc.gov.uk)

## **8.0 Decision Information**

### **Key Decision**

Financial Threshold Met: No

Community Impact Threshold Met: No

### **Wards Affected**

All

### **Links to Corporate Plan and Policy Framework**

All

### **Lead Councillor**

Councillor Richard Mould – Lead member for Performance Management  
Councillor Tony Ilott – Lead member for Finance and Governance

## **Document Information**

### **Appendix number and title**

- Appendix 1 – 2021/22 Business Plan
- Appendix 2 – Monthly Performance Report - September
- Appendix 3 – Leadership Risk Register
- Appendix 4 – Finance
- Appendix 5 – Virement and Aged Debt Sep

- Appendix 6 – COVID funding
- Appendix 7 – Use of reserves and grant funding
- Appendix 8 – Climate Action Plan Quarter 2 update
- Appendix 9 – Equalities, Diversity and Inclusion Action Plan Quarter 2 update

**Background papers**

None

**Report Author and contact details**

Louise Tustian – Head of Insight and Corporate Programmes

Tel: 01295 221786, [Louise.tustian@cherwell-dc.gov.uk](mailto:Louise.tustian@cherwell-dc.gov.uk)

This page is intentionally left blank

## CHERWELL CAPITAL EXPENDITURE 2021-22

CODE	PROJECT MANAGER / SERVICE OWNER	DESCRIPTION	BUDGET TOTAL	YTD ACTUAL	Outturn	RE-PROFILED BEYOND 2021/22	Current Month Variances £000	Prior Month Variances £000	OUTTURN NARRATIVE
40062	Andrew Bowe/Tony Brummell	East West Railways	10	1	10	0	-	-	Cherwell's involvement is now principally regulatory in the form of considering, inter alia, planning land drainage and environmental applications with a view to issuing consents for both temporary works (covering the construction phase only) and the permanent works. The times spent on the project are largely reactive to its progress and requirements.
40206	Robert Jolley	Garden Town Capital Funding	4,550	0	4,550	0	-	-	This is the major infrastructure scheme in Bicester's Banbury Road roundabout.
40106	Jane Norman	Coach House Mews (Phase 1)	0	(94)	(7)	0	(7)	(7)	£87.5k is the disposal proceeds of no. 5 Worcester House (will be moved to Capital Receipts). The £7k credit is the balance of an accrual
40125	Jane Norman	Newton Close (Phase 1)	0	(105)	0	0	-	-	
40121	Jane Norman	Bicester Library (phase 1b)	664	(2)	200	464	-	-	<b>Bicester Library:</b> The first archaeological investigations have been completed and information sent to the County archaeologist for approval to proceed. The demolition contract has been re-tendered as the 3 month time limit had been reached. <b>The project received planning consent in September 2021. Current FY expenditure has been reduced to £200k with the remaining budget reprofiled - this will be continually reviewed against project programme and securing permission to proceed.</b>
40111	Jane Norman	Admiral Holland Redevelopment Project (phase 1b)	0	(275)	6	61	67	67	With construction formally completed end of September 2020 there is the need to budget for retention which CDC will have to pay in September 2022 – the retention is £60.5k. Although 'Budget Total' is noted as 0 the aforementioned retention has been noted as a future liability 'reprofiled beyond FY 2021/22'.
40118	Jane Norman	Creampot Crescent Cropredy (phase 1b)	6	(17)	6	0	-	-	Creampot Crescent - Although the home is complete, sold under shared ownership basis CDC are still holding retention money. The amount is £5,750 which will not be due for payment until October 2021
40214	Jane Norman	Creampot Crescent Cropredy Repurchase co	350	0	350	0	-	-	This budget will only be required if CDC buy back the property if the current owner can no longer afford the property.
40177	Jane Norman	Bullmarsh Close (Phase 2)	0	66	65	17	82	82	With construction formally completed early May 2021 there is the need to budget for retention which CDC will have to pay in May 2022 - the retention is £17k. Although 'Budget Total' is noted as 0 the aforementioned retention has been noted as a future liability 'reprofiled beyond FY 2021/22'.
40213	Jane Norman	Build Team Essential Repairs & Improve C	160	0	0	160	-	-	In preparation for the tender process further structural surveys have been carried out which have revealed additional work required. We are currently in discussion with the freeholder regarding a possible revised warranty claim.
40224	Jane Norman	Fairway Flats Refurbishment	140	3	140		-	-	The approved budget for the project is deemed to be £384k (CAP2117). The balance £244k funding will be released in FY 22/23.

## CHERWELL CAPITAL EXPENDITURE 2021-22

CODE	PROJECT MANAGER / SERVICE OWNER	DESCRIPTION	BUDGET TOTAL	YTD ACTUAL	Outturn	RE-PROFILED BEYOND 2021/22	Current Month Variances £000	Prior Month Variances £000	OUTTURN NARRATIVE
<b>Growth &amp; Economy Total</b>			<b>5,880</b>	<b>(423)</b>	<b>5,320</b>	<b>702</b>	<b>142</b>	<b>142</b>	
40015	Ed Potter	Car Park Refurbishments	79	5	29	50	-	-	£50k slippage required in to 22/23. Remainder of spend to be committed in quarter 3. In conjunction with projects 40026 Off Road Parking & 40217 Car Park Action Plan Delivery.
40026	Ed Potter	Off Road Parking	18	0	0	18	-	-	£18k slippage required in to 22/23. This project is in conjunction with Car Park Refurbishments project CC 40015 and 40217.
40028	Ed Potter	Vehicle Replacement Programme	1,268	653	969	299	-	-	£299k slippage required in to 22/23 to allow for further investigation in to electric vehicles/decarbonisation of fleet and extension of useful life of current fleet. Remaining £65k to be committed in quarter 3.
40031	Ed Potter	Urban City Electricity Installations	15	0	15	0	-	-	This project is for the refurbishment of electric sockets in Bicester town centre. The Full £15k is expected to be spent in quarter 3 of 21/22.
40186	Ed Potter	Commercial Waste Containers	25	9	25	0	0	0	Full spend is expected in quarters 3 and 4 of 21/22.
40187	Ed Potter	On Street Recycling Bins	22	4	10	12	-	-	£12k slippage required in to 22/23 - currently reviewing containers in urban centres and laybys to when refurbishment required. Remaining £6k to be spent in quarter 4.
40188	Ed Potter	Thorpe Lane Depot Capacity Enhancement	174	3	44	130	-	-	£130k slippage required in to 22/23 - requirement of space and infrastructure is still being reviewed. Remaining £41k to be committed in quarter 3.
40216	Ed Potter	Street Scene Fencing Street Furniture &	24	0	24	0	-	-	This project is for repairing/replacing metal steps at Kirtlington Quarry. Issues with the expiring lease, landowner and covid has resulted in delays. Full spend is expected in quarter 4 of 21/22 due to health and safety issues.
40217	Ed Potter	Car Parking Action Plan Delivery	175	0	90	85	-	-	£85k Slippage required in to 22/23. Remaining £10k to be committed in quarter 3. Projects in conjunction with 40015 & 40026.
40218	Ed Potter	Depot Fuel System Renewal	50	7	15	35	-	-	£35k slippage required in to 22/23 due to delays with progression of redevelopment of Bicester depot. Remaining £8k to be committed in quarter 3.
40220	Ed Potter	Horsefair Public Conveniences	150	3	150	0	-	-	Full commitment is currently expected in period 7 of 21/22. Changing places grant applied for - awaiting result of application.
40222	Ed Potter	Burnehyll- Bicester Country Park	175	4	175	0	-	-	Expecting full spend in quarter 4 of 21/22. Review meeting booked for 21st October.
40248	Ed Potter	Solar Panels at Castle Quay	53	0	53	0	-	-	Expecting full spend in 21/22, £6m grant funding PSDS project to be completed by Christmas 2021, if resources are then available, confirmation of anticipated spend or slippage will be identified .
40235	Ed Potter	Chargeable Garden & Food Waste	1,200	121	1,200	0	-	-	Full spend is expected in 21/22. Final spend to be committed in period 12.
<b>Environment and Waste Total</b>			<b>3,428</b>	<b>808</b>	<b>2,799</b>	<b>629</b>	<b>0</b>	<b>0</b>	
<b>Environment and Place total</b>			<b>9,308</b>	<b>385</b>	<b>8,119</b>	<b>1,331</b>	<b>142</b>	<b>142</b>	
40081	Robert Fuzesi	Bicester Town Centre Redevelopment	0	13	0	0	-	-	Works have been charged to revenue.- project closed



## CHERWELL CAPITAL EXPENDITURE 2021-22

CODE	PROJECT MANAGER / SERVICE OWNER	DESCRIPTION	BUDGET TOTAL	YTD ACTUAL	Outturn	RE-PROFILED BEYOND 2021/22	Current Month Variances £000	Prior Month Variances £000	OUTTURN NARRATIVE
40092	Chris Hipkiss	Spiceball Riverbank Reinstatement	(50)	0	0		50	-	The budget was prepared some time ago and got delayed due to the commencement of CQ2. The works are now part of the CQ2 and also includes the bridge too. It will than likely be absorbed into the main CQ2 budget cost and will be spent during
40139	Stuart Parkhurst	Banbury Health Centre - Refurbishment of Ventilation, Heating & Cooling Systems	100	(4)	100		-	-	Tenders now complete and currently engaging with the tenants. Expect full utilisation of the £100k by march 2022
40141	Chris Hipkiss	Castle Quay 2	17,509	6,944	15,600	1,859	(50)	(0)	Includes £1.9m refund of s278 Highways Bond Final construction payment to McLaren scheduled in May23
40144	Chris Hipkiss	Castle Quay 1	3,303	68	3,303	0	(0)	(0)	£3.3m budgeted for works on Castle Quay Shopping centre excluding M&S unit
40162	Robert Fuzesi	Housing & IT Asset System joint CDC/SNC	100	0	0	100	-	-	CDC contribution to new joint IT asset system - uncertainty of timelines and funds may need to be reprofiled into next year
40167	Stuart Parkhurst	Horsefair, Banbury	55	0	55		-	-	The works design is now completed, pending tender. Expect full utilisation of budget by March 2022 but there is the potential that this project may slip to 22/23
40190	Stuart Parkhurst	Banbury Museum Upgrade of AHU	35	8	35		-	-	Works relate to Air Handling unit at Banbury museum. All specialist parts now have arrived from Germany. Pending intallation but expect full utilisation of the £35k by March 2022
40191	Stuart Parkhurst	Bodicote House Fire Compliance Works	71	(13)	71	0	-	-	£141k c/fwd from 20/21. £70k budget held back and will be loaded in to 22/23 Review of scope being carried out to ascertain actual requirements. Possibly reprofile funds into next year.
40197	Stuart Parkhurst	Corporate Asbestos Surveys	160	6	106	0	(54)	(54)	Works are progressing, planned to be completed over 2 years with delays being caused by covid 19. Anticipated saving of £54k
40198	Stuart Parkhurst	Corporate Fire Risk Assessments	80	0	60	0	(20)	-	Fire risk assessments are now completed SP to review reports with supplier to assess works required. Expect a saving of £20k
40200	Stuart Parkhurst	Corporate Reinstatement Cost Assessments	12	(18)	(18)	0	(30)	-	No further costs are expected. The project is complete and closed from a delivery perspective. The accrual of £18k will remain unmatched in this year
40201	Stuart Parkhurst	Works From Compliance Surveys	147	0	147	0	-	-	Works planned over 2 years with additional delays caused by covid 19. Full spend anticipated by June 2022
40203	Robert Fuzesi	CDC Feasibility of utilisation of proper Space	100	0	0	100	-	-	Feasibility study for use of office space
40219	Stuart Parkhurst	Community Centre - Works	209	154	209		-	-	£130k c/fwd from 20/21. Year 2 budget allocation of £190k = £320k total. £110k budget held back and will be loaded in to 22/23. Full spend anticipated by March 2022
40239	Stuart Parkhurst	Bicester East Community Centre	210	0	210	0	-	-	2 year scheme - £210k in yr1 and £1240k in yr 2. Feasibility has been carried out. Designer has been engaged, preparping documents ready for planning in Q4. Full spend anticipated
40240	Stuart Parkhurst	Bicester Dovecote	41	1	41	0	-	-	Tenders now received pending instruction subject to listed building consent approval expected Oct 2021. Full spend anticipated
40241	Stuart Parkhurst	Thorpe Place Roof Works	35	0	35	0	-	-	Currently scoping the works which will be complete by May 2022

## CHERWELL CAPITAL EXPENDITURE 2021-22

CODE	PROJECT MANAGER / SERVICE OWNER	DESCRIPTION	BUDGET TOTAL	YTD ACTUAL	Outturn	RE-PROFILED BEYOND 2021/22	Current Month Variances £000	Prior Month Variances £000	OUTTURN NARRATIVE
40242	Stuart Parkhurst	H&S Works to Banbury Shopping Arcade	127	0	127	0	-	-	Currently scoping the works which will be completed by June 2022
40243	Stuart Parkhurst	West Bicester Community Centre Car Park	35	0	35	0	-	-	works completed and agreeing final account
40244	Stuart Parkhurst	Flood Defence Works Hanwell Fields Community Centre	20	0	19	0	(1)	-	works completed and agreeing final account
40246	Stuart Parkhurst	Banbury Museum Pedestrian Bridge	78	0	78	0	-	-	Works have been instructed pending start date, potential delays from British Waterways for licence to deliver works over canal. Full Spend anticipated by March 2022
40247	Stuart Parkhurst	Service Yard at Hart Place Bicester	28	0	32	0	4	-	Works now completed
40249	Stuart Parkhurst	Retained Land	170	0	170	0	-	-	2 year scheme - £170k in yr1 and £130k in yr 2. Retained land surveys now instructed for delivery. Full spend anticipated by February 2023
40225	Stuart Parkhurst	Drayton Pavillion - Decarbonisation Works	86	7	86	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40226	Stuart Parkhurst	Thorpe Lane Depot - Decarbonisation Works	595	25	595	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40227	Stuart Parkhurst	Banbury Museum - Decarbonisation Works	324	19	324	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40228	Stuart Parkhurst	Franklins House - Decarbonisation Works	106	11	106	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40229	Stuart Parkhurst	Stratfield Brake Sports Ground - Decarbonisation Works	159	14	159	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40230	Stuart Parkhurst	Whitelands - Decarbonisation Works	123	10	123	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40231	Stuart Parkhurst	Bicester Leisure Centre - Decarbonisation Works	1,401	39	1,401	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40232	Stuart Parkhurst	Kidlington Leisure Centre - Decarbonisation Works	1,087	30	1,087	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40233	Stuart Parkhurst	Spiceball Leisure Centre - Decarbonisation Works	1,311	37	1,311	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40234	Stuart Parkhurst	Woodgreen Leisure Centre - Decarbonisation Works	795	27	795	0	-	-	Funds are from Salix decarbonisation grant fund. Project has time limit for completion Q4. Works currently in design with tender Aug 21. Full spend anticipated by March'22
40245	Richard Webb	Enable Agile Working	15	0	15	0	-	-	
		<b>Community Development Assets and Investment</b>	<b>28,577</b>	<b>7,379</b>	<b>26,417</b>	<b>2,059</b>	<b>(101)</b>	<b>(54)</b>	
		<b>Comm Dev Assets total</b>	<b>28,577</b>	<b>7,379</b>	<b>26,417</b>	<b>2,059</b>	<b>(101)</b>	<b>(54)</b>	
40204	Michael Furness	Finance Replacement System	215	159	215	0	-	(45)	The last of the funding will be used for the Capital P360 project
		<b>Finance Total</b>	<b>215</b>	<b>159</b>	<b>215</b>	<b>0</b>	<b>-</b>	<b>(45)</b>	

## CHERWELL CAPITAL EXPENDITURE 2021-22

CODE	PROJECT MANAGER / SERVICE OWNER	DESCRIPTION	BUDGET TOTAL	YTD ACTUAL	Outturn	RE-PROFILED BEYOND 2021/22	Current Month Variances £000	Prior Month Variances £000	OUTTURN NARRATIVE
40208	Karen Edwards	Project Manager for HR/Payroll system	100	4	100	0	-	-	Remaining 96K to be spent on further implementation of I Trent.
<b>HR Total</b>			<b>100</b>	<b>4</b>	<b>100</b>	<b>0</b>	<b>-</b>	<b>-</b>	
40054	Tim Spiers	Land & Property Harmonisation	0	51	36	0	36	33	PM resource - will be applying for another project to be repurposed. Estimated Completion: Q4 21/22
40056	Tim Spiers	5 Year Rolling HW / SW Replacement Prog	50	0	50	0	-	-	50K needed for Hardware replacement in 2022. Estimated completion: Q4, 21/22
40170	Tim Spiers	Customer Excellence & Digital Transfer	30	0	30	0	-	-	Digital customer Phase 2
40210	Tim Spiers	CDC & OCC Technology Alignment	4	121	4	0	-	-	On target
40211	Tim Spiers	Legacy Iworld System Migration	50	0	14	0	(36)	(33)	Repurpose for new project on members IT
40212	Tim Spiers	Procurement of Joint Performance system	20	(17)	20	0	-	-	20K needed for PM resource
40237	Tim Spiers	Council Website & Digital Service	250	16	175	75	-	-	On target. Estimated completion: Q4,22/23
40238	Tim Spiers	IT Shared Services	550	8	400	150	-	-	On target. Estimated completion: Q4,22/23
<b>ICT and Digital Total</b>			<b>954</b>	<b>178</b>	<b>729</b>	<b>225</b>	<b>(0)</b>	<b>(0)</b>	
<b>Customers, Org Dev &amp; Resources total</b>			<b>1,269</b>	<b>341</b>	<b>1,044</b>	<b>225</b>	<b>(0)</b>	<b>(45)</b>	
40083	Tim Mills	Disabled Facilities Grants	1,031	657	656	0	(375)	(375)	It is expected that the capital programme will increase by £1.240m due to the 2021/22 Better Care Allocation once approval has been given by Full Council until this has happened we are only forecasting spend against existing budget.
40084	Tim Mills	Discretionary Grants Domestic Properties	213	52	150	63	-	-	The 5 year capital scheme for Discretionary grants is £150k pa and runs until 2023-24. Total budget comprises: £150k base budget, £63k reprofiled budget from 20/21
<b>Housing Services Total</b>			<b>1,244</b>	<b>710</b>	<b>806</b>	<b>63</b>	<b>(375)</b>	<b>(375)</b>	
<b>Housing Total</b>			<b>1,244</b>	<b>710</b>	<b>806</b>	<b>63</b>	<b>(375)</b>	<b>(375)</b>	
40006	Nicola Riley	Community Centre Refurbishments	9	0	9	0	-	-	Remaining funds from The Hill capital project. Required for professional fees and external lighting project.
40009	Tom Gubbins	Physical Activity and Inequalities Insight	12	0	12	0	-	-	Insight work and evaluation will be completed by March 2022. Invoice is due in the next 6 weeks.
40010	Liam Didcock	North Oxfordshire Academy Astro turf	183	0	183	0	-	-	
40019	Liam Didcock	Bicester Leisure Centre Extension	34	0	34	0	-	-	£84k budget reprofiled from 20/21. £34k budget allocated to 21/22 and the remaining £50k will be reprofiled in to 22/23

## CHERWELL CAPITAL EXPENDITURE 2021-22

CODE	PROJECT MANAGER / SERVICE OWNER	DESCRIPTION	BUDGET TOTAL	YTD ACTUAL	Outturn	RE-PROFILED BEYOND 2021/22	Current Month Variances £000	Prior Month Variances £000	OUTTURN NARRATIVE
40020	Liam Didcock	Spiceball Leis Centre Bridge Resurfacing	30	0	30	0	-	-	Spend will take place when Castle Quay Waterside is completed and bridge reinstated. Potential for this budget to be utilised to resurface remainder of bridge/redecoration and inspection works
40035	Rebecca Dyson	Corporate Booking System	45	0	45	0	-	-	capital to support the development of on-line holiday activity booking process.
40131	Tom Darlington	S106 Capital Costs	221	125	221	0	0	0	funded from S106
40152	Kevin Larnar	Community Capital Grants	15	25	25	0	10	10	Projected overspend of £10k due to P.O. incorrectly cancelled in 2020/21
40215	Liam Didcock	North Oxford Academy Upgrade existing Fa	0	0	0	0	-	-	
		<b>Leisure and Sport Total</b>	<b>549</b>	<b>150</b>	<b>559</b>	<b>0</b>	<b>10</b>	<b>10</b>	
40181	Stuart Parkhurst	Sunshine Centre (new extension to the front of the site)	12	(2)	(2)	0	(14)	(14)	works are now completed and out of the defect period
		<b>Wellbeing Total</b>	<b>12</b>	<b>(2)</b>	<b>(2)</b>	<b>0</b>	<b>(14)</b>	<b>(14)</b>	
		<b>Public Health Wellbeing Total</b>	<b>561</b>	<b>148</b>	<b>557</b>	<b>0</b>	<b>(4)</b>	<b>(4)</b>	
		<b>Capital Total</b>	<b>40,959</b>	<b>8,963</b>	<b>36,943</b>	<b>3,678</b>	<b>(339)</b>	<b>(337)</b>	

## Appendix 3 - COVID Funding for 2021/22

### Specific Funding

Dept.	Grant Name	Funding
		£
OCC	District Winter Grant	59,004
DOHSC	COMF	185,742
MHCLG	Welcome Back Fund	133,843
MHCLG	Restart Grant	8,304,156
MHCLG	ARG Top up	1,289,860
MHCLG	Test and Trace + Admin Fee	102,633
		<b>10,075,238</b>

### General Funding

Decription	£
Forecast Sales, Fees & Charges compensation	95,000
Covid Grant Funding	720,000
<b>Total Grant Funding</b>	<b>815,000</b>

This page is intentionally left blank

## Appendix 4 - Reserves and Grant Funding

### Use of Reserves

#### Specific requests

Directorate	Type	Description	Reason	Amount £m
Planning & Development	Earmarked	Transformation Reserve	Redesigned customer processes within Cherwell District Council's Planning function	0.065
			<b>Total Earmarked Reserves</b>	<b>0.065</b>

This page is intentionally left blank



## Appendix 5 - Virements and Aged Debt Summary

### Virement Movement

This table shows the movement in Net Budget from August to September 2021

<b>Virements - Movement in Net Budget</b>	<b>£m</b>
Directorate Net Budget - September 2021	22.271
Directorate Net Budget - August 2021	22.125
<b>Movement</b>	<b>0.146</b>

<b>Breakdown of Movements</b>	<b>£m</b>
<b>Allocations from Reserves</b>	
New Projects - PwC's professional services to bring Revenues & Benefits in house	£0.120
Earmarked - Local Plan Charges	£0.048
Ringfenced Grant - Homeless Prevention Grant	(£0.257)
<b>Other</b>	
CF from 2021 - Hardship fund	£0.235
<b>Total</b>	<b>0.146</b>

## Aged Debt Summary

Within the above there is £0.187m of unallocated debt this will be investigated as part of the review of debt going forward.

